



2186 #8
2-12-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Michikazu HORIE, et al.

SERIAL NO: 09/530,599

GAU: 2186

FILED: May 9, 2000

EXAMINER:

FOR: OPTICAL INFORMATION RECORDING MEDIUM AND OPTICAL RECORDING METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 609 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 0050-1659-2 PCT		SERIAL NO. 09/530,599	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Michikazu HORIE, et al.			
FILING DATE May 9, 2000				GROUP 2186			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	6,143,468	11/07/00	OHNO et al.			
	AB	6,004,646	12/21/99	OHNO et al.			
	AC	6,294,310	09/25/01	OHNO et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION			
				YES	NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ				<input type="checkbox"/> Additional References sheet(s) attached		
Examiner				Date Considered			

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0050-1449-0	6,143,468	11/07/00	OHNO et al.
0050-1500-0	6,004,646	12/21/99	OHNO et al.
0050-1656-0 DIV	6,294,310	09/25/01	OHNO et al.
0050-1659-2PCT*	09/530,599	05/09/00	PENDING
210159US0 CONT	09/919,846	08/02/01	PENDING
218899US0	10/059,193	01/31/02	PENDING
230271US2 CONT	10/287,582	11/05/02	PENDING

*Present Application; listed for information
GJM/akh
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